SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b).

1. Name and Address of Reporting Person* DODEZ JAMES S	2. Issuer Name and Ticker or Trading Symbol <u>KVH INDUSTRIES INC \DE</u> [KVHI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
DODEZ JAMES 5		X Director 10% Owner				
(Last) (First) (Middle) KVH INDUSTRIES, INC.	3. Date of Earliest Transaction (Month/Day/Year) 08/04/2020	Officer (give title Other (specify below) below)				
50 ENTERPRISE CENTER	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applica Line)				
(Street)		X Form filed by One Reporting Person				
MIDDLETOWN RI 02842	_	Form filed by More than One Reporting Person				
(City) (State) (Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	08/04/2020		J ⁽¹⁾		5,000	Α	\$ <mark>0</mark>	47,381	D	
Common Stock	08/04/2020		J ⁽²⁾		5,000	Α	\$ <mark>0</mark>	52,381	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Restricted stock grant (for newly-elected KVH Industries' Audit Committee member). Grant issued pursuant to the terms & conditions of KVH Industries' Amended & Restated 2016 Equity & Incentive Plan. Grant vests in four quarterly installments (1250 on 11/4/20; 1250 on 2/4/21; 1250 on 5/4/21; 1250 on 8/4/21).

2. Annual restricted stock grant (for continuing service on KVH Industries' Board of Directors). Grant issued pursuant to the terms & conditions of KVH Industries' Amended & Restated 2016 Equity & Incentive Plan. Grant vests in four quarterly installments (1250 on 11/4/20; 1250 on 2/4/21; 1250 on 5/4/21; 1250 on 8/4/21).

Remarks:



08/06/2020

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

Check this box if no longer subject