SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
hours per response:	0.5								

	s of Reporting Persor		2. Issuer Name and Ticker or Trading Symbol KVH INDUSTRIES INC \DE\ [KVHI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
KIIS VAN H	<u>EYNINGEN R</u>	<u>OBERI WB</u>		Х	Director	10% Owner			
				x	Officer (give title	Other (specify			
(Last)) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
KVH INDUSTR	IES, INC.		01/31/2017		Vice President, R&D				
50 ENTERPRISE CENTER									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group Filing (Check Applicable			
(Street)				Line)					
MIDDLETOWN	RI	02842		Х	Form filed by One Report	ing Person			
· · · · ·					Form filed by More than (Person	One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed 01, 01 Benenciary Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	01/31/2017		J ⁽¹⁾		16,344	A	\$9.32	226,340	D	
Common Stock	01/31/2017		S		3,200	D	\$10.4	223,140	D	
Common Stock	01/31/2017		S		100	D	\$10.425	223,040	D	
Common Stock	01/31/2017		S		10,054	D	\$10.45	212,986	D	
Common Stock	01/31/2017		S		100	D	\$10.4625	212,886	D	
Common Stock	01/31/2017		S		400	D	\$10.475	212,486	D	
Common Stock	01/31/2017		S		2,490	D	\$10.5	209,996	D	
Common Stock	02/01/2017		J ⁽¹⁾		3,198	A	\$9.32	213,194	D	
Common Stock	02/01/2017		S		98	D	\$10.3	213,096	D	
Common Stock	02/01/2017		S		900	D	\$10.35	212,196	D	
Common Stock	02/01/2017		S		1,798	D	\$10.4	210,398	D	
Common Stock	02/01/2017		S		2	D	\$10.45	210,396	D	
Common Stock	02/01/2017		S		400	D	\$10.5	209,996	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Options- Right to Buy	\$9.32	01/31/2017		J ⁽²⁾			16,344	02/28/2016	02/28/2017	Common Stock	16,344	\$ 9.32	3,198	D	
Employee Stock Options- Right to Buy	\$9.32	02/01/2017		J ⁽²⁾			3,198	02/28/2016	02/28/2017	Common Stock	3,198	\$9.32	0 ⁽³⁾	D	

Explanation of Responses:

1. Shares acquired via the exercise of stock options issued pursuant to the terms and conditions of the company's 2006 Stock Incentive Plan.

2. Exercise of expiring stock options issued pursuant to the terms and conditions of the company's 2006 Stock Incentive Plan.

3. Represents total vested/unexercised options "beneficially owned".

Remarks:

<u>Heyningen</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.